

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**BUR920030045**

In Re Application Of: **Kiran V. Chatty**

SEP 08 2003

Serial No.  
**10/604,922**

Filing Date  
**August 27, 2003**

Examiner  
**Unknown**

Group Art Unit  
**Unknown**

Title:

**PFET-BASED ESD PROTECTION STRATEGY FOR IMPROVED EXTERNAL LATCH-UP ROBUSTNESS**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**BUR920030045**

In Re Application: **King V. Chatty**

Serial No.

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Unknown

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**PFET-BASED ESD PROTECTION STRATEGY FOR IMPROVED EXTERNAL LATCH-UP ROBUSTNESS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **09-0456** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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I certify that this document and fee is being deposited 09/05/03 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. Box 1450, Alexandria, VA 22313

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\*This certificate may only be used if paying by deposit account.

Anthony J. Canale  
Signature

Dated: 09/04/2003

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CC:



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**Kiran V. CHATTY et al.**

Serial No.: 10/604,922

Filed: August 27, 2003

For: PFET-BASED ESD PROTECTION  
STRATEGY FOR IMPROVED  
EXTERNAL LATCH-UP ROBUSTNESS

Atty Docket No.: BUR920030045US1

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**under 37 C.F.R. § 1.97(b)**

Commissioner for Patents  
Washington, DC 20231

Sir:

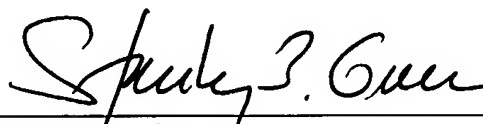
Pursuant to 37 C.F.R. §§ 1.56 and 1.97, Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. Copies of the listed U.S. Patent or U.S. Patent Application Publications are not provided in accordance with the waiver promulgated within the Notice published in 1276 OG 55, 05 August 2003. Copies of cited foreign patent documents and non-patent literature are submitted herewith in accordance with 37 CFR 1.98(a)(2). The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

***Deposit Account Authorization***

Authorization is hereby made to charge any fees due or outstanding, or credit any overpayment, to Deposit Account No. 09-0456 (International Business Machines Corporation).

Respectfully Submitted,

Dated: August 27, 2003

A handwritten signature in cursive script, reading "Stanley B. Green", written over a horizontal line.

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PTO/SB/08a/b (05-03)

Approved for use through 05/31/2003. OMB 0651-0031  
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| <b>Substitute for form 1449/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                 |
|  |   |    |   | Application Number       | 10/604,922      |
|  |   |    |   | Filing Date              | August 27, 2003 |
|  |   |    |   | First Named Inventor     | Kiran V. CHATTY |
|  |   |    |   | Art Unit                 | To Be Assigned  |
|  |   |    |   | Examiner Name            | To Be Assigned  |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | BUR920030045US1 |

| U.S. PATENT DOCUMENTS |                       |   |                                |  |   |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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*Already filed electronically on Sep 6, 4, 2003.*

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|--------------------------|-----------------------|---|--------------------------------|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |   |   |                |
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|                          |                       |   |                                |   |   |                |

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| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials <sup>*</sup>  | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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|--------------------|--|-----------------|--|